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JCS972 U.S. PTO  
09/741957

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as herein are being deposited with the United States Postal Service on December 20, 2000 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EJ756595401US, addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Attorney Docket No.: DEM1P004

First Named Inventor: DELURGIO

JCS914 U.S. PTO  
09/741957  
12/20/00

*Michael Lee*  
Michael Lee

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**PHIL DELURGIO, SUZANNE VALENTINE, MICHAEL NEAL,  
KRISHNA VANKATRAMAN and HAU LEE**

For: **FINANCIAL MODEL ENGINE**

Assigned to:

**Application Elements:**

- ☒ 126 Pages of Specification, Claims and Abstract
- ☒ 26 Sheets of Formal Drawings
- ☐ Pages Combined Declaration and Power of Attorney

**Accompanying Application Parts:**

- ☒ Do not publish this application. Nonpublication Request is attached.
- ☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
  - ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☐ Other:

ation (37 CFR § 1.16)

licant is entitled to Small Entity Status under 37 C.F.R. §1.27.

	(Col. 1) Total Claims		(Col. 2) Claims	(Col. 3) Present Extra	Rate	Additional Fee
TOTAL	4	MINUS	20	= 0	x 18 =	\$0
INDEP.	2	MINUS	3	= 0	x 80 =	\$0
[ ] First presentation of multiple dependent claim					\$270 =	
Basic Filing Fee under 37 C.F.R. §1.16(a)					\$710 =	710.00
TOTAL						\$710.00
SMALL ENTITY 50% FILING FEE REDUCTION (if applicable)						

☐ Check No. in the amount of \$ is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. DEM1P004).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. DEM1P004).

☒ Please send correspondence to the following address:

**Customer Number 022434**  
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Fax (510) 843-6203



22434  
PATENT TRADEMARK OFFICE

Date: December 20, 2000

Michael Lee  
Michael Lee  
Registration No. 31,846

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re application of: DELURGIO et al.

Attorney Docket No.: DEM1P004

Application No.: Filed Herewith

Examiner: Unassigned

Filed: Filed Herewith

Group: Unassigned

Title: FINANCIAL MODEL ENGINE

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**NONPUBLICATION REQUEST AND CERTIFICATION**  
**(35 U.S.C. 122(b)(2)(B)(i))**

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing. I hereby request that the attached application **NOT** be published under 35 U.S.C. 122(b).

Respectfully submitted,  
BEYER WEAVER & THOMAS, LLP



Michael Lee  
Registration No. 31,846

Dated: December 20, 2000

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